



IFW  
3623

First Named  
Inventor : Kuldeep K. Dhar

Appln. No. : 09/970,312

Filed : October 2, 2001

Title : OBJECT BASED WORKFLOW SYSTEM AND  
METHOD

Docket No. : I194.12-0002

Group Art Unit: 3623

Examiner: Deshpande, Kalyan K.

## SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL,  
FIRST CLASS, TO THE COMMISSIONER FOR PATENTS,  
ALEXANDRIA, VA 22313, THIS 12TH DAY OF JANUARY,  
2007.

  
PATENT ATTORNEY

The enclosed PTO Form-1449 lists patents and publications submitted pursuant to 37 C.F.R. 1.97.  
Copies of the patents or publications are enclosed as necessary.

### TIME OF FILING

The Information Disclosure Statement is being filed:

1.      with the application or within three months of the filing date of a national application (other than a continued prosecution application under 37 C.F.R. 1.53(d)) or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office action on the merits or a first office action after the filing of a request for continued examination under 37 C.F.R. 1.114, whichever event occurs last. In accordance with 37 C.F.R. 1.97(b), no certification or fee is required.

2. X after the time period specified in paragraph 1 above, but, to the best of the undersigned's knowledge, before the mailing date of a final action under 37 C.F.R. 1.113 or notice of allowance under 37 C.F.R. 1.311, or an action that otherwise closes prosecution of the application. In accordance with 37 C.F.R. 1.97(c), submitted herewith is:

(check either A or B below)

A: X a statement as specified in 37 C.F.R. 1.97(e), no fee is required.

B:      the fee set forth in 37 C.F.R. 1.17(p) for submission of an Information Disclosure Statement under 37 C.F.R. 1.97(c).

3.      after the mailing date of either a final action under 37 C.F.R. 1.113 or a Notice of Allowance under 37 C.F.R. 1.311, whichever occurs first, but on or before payment of the issue fee. Applicant petitions for consideration of this Information Disclosure Statement pursuant to 37 C.F.R. 1.97(d)(2). Applicant submits herewith:

- A. a statement as specified in 37 C.F.R. 1.97(e); and
- B. the fee set forth in 37 C.F.R. 1.17(p).

STATEMENT

(only used if No. 2A or No. 3 above is checked)

The person(s) signing below states:

(check appropriate paragraph)

     that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1).

or

X that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2).

Respectfully submitted,

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FORM PTO-1449	Atty. Docket No.: 1194.12-0002	Application No.: 09/970,312
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor: Kuldeep K. Dhar	
	Filing Date: October 2, 2001	Group Art: 3623

## U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents
AA	5,848,393	12-08-1998	Goodridge, et al.
AB	5,878,403	03-02-1999	DeFrancesco, et al.
AC	5,940,811	08-17-1999	Norris
AD	5,995,947	11-30-1999	Fraser, et al.
AE	6,438,526	08-20-2002	Dykes, et al.
AF	US2001/0014877 A1	08-16-2001	DeFrancesco, et al.
AG	US2001/0037288 A1	11-01-2001	Bennett, et al.
AH			
AI			
AJ			
AK			

## FOREIGN PATENT DOCUMENTS

	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Translation Yes No
AL				
AM				
AN				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
AQ	

EXAMINER:	DATE CONSIDERED:
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.